

2814 JFW

PATENT APPLICATION
Attorney Docket: 728-208 (YOR9-2001-0270)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Louis L. HSU, et al. GROUP ART UNIT: 2814

APPLN NO: 09/880,223 EXAMINER: Rao, Shrinivas

FILING DATE: June 13, 2001 DATED: May 12, 2004

FOR: **T-RAM CELL HAVING A BURIED VERTICAL
THYRISTOR AND A PSEUDO-TFT TRANSFER GATE AND
A METHOD FOR FABRICATING THE SAME**

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	5	28	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	1	0	0	x 43 =	\$0	x 86 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				140		290	\$0
TOTAL							\$ - -

* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.
** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".
*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope addressed to the: MAIL STOP NON-FEE AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 12, 2004

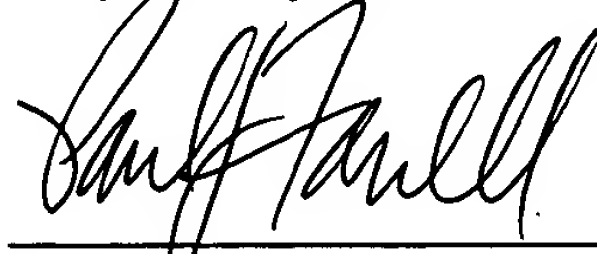
Janelle O. Zul

[X] Please charge Deposit Account No. **50-0510 (IBM Yorktown Heights)** in the amount of \$_____. Two (2) copies of this sheet are enclosed.

[] A check in the amount of \$__ is enclosed.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. **50-0510/IBM (Yorktown Heights)**. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. **50-0510/IBM (Yorktown Heights)** therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,



Paul J. Farrell
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AMENDMENT

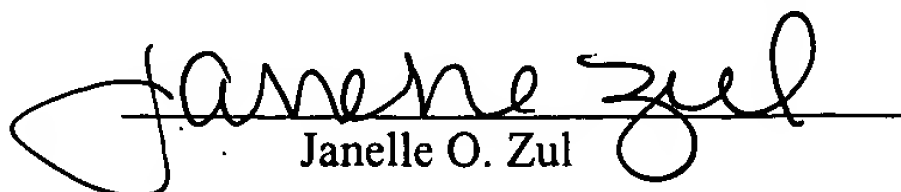
Sir:

In response to the Office Action of the United States Patent and Trademark Office mailed February 12, 2004, please consider the following remarks.

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Dated: May 12, 2004


Janelle O. Zul